NAVEX™

Safeguarding Your Institution from Ethics & Compliance Risk

NAVEX. Building a safer, stronger, and sustainable future through intelligent risk management that helps universities and colleges of all sizes fulfill their duty of care. The NAVEX One Platform provides the holistic view of risk, automated processes, and insights needed to reach more people, create better learning and living environments, and ensure no cases fall through the cracks.

Serving 80% of Association of American Universities

Used by more than 360 "small" colleges and universities with fewer than 3,600 faculty, staff and students

Trusted by 13,000+ customers and their 70M employees with their various E&C, GRC, and ESG programs

NAVEX E&C: A comprehensive suite of Ethics and Compliance (E&C) products designed to solve for regulatory and people-based risks.

Code of Conduct

Truly effective codes are go-to resources for faculty and staff who have questions about ethical and compliance issues or need to connect with policies and other key resources. Creating a document that does this (and does it well) can seem overwhelming. It doesn't have to be.

Solutions: Custom Code; Code Writer; Supplier Code of Conduct; Code-at-a-Glance for Manager

E&C Training

NAVEX's ethics and compliance training solution is exclusively endorsed by the Society of Human Resources Management (SHRM) and The Association of Corporate Counsel (ACC). Our content is vetted by one of the world's leading legal firms, Baker McKenzie.

Solutions: Full-length & Micro courses; Employment Law Conduct, Compliance & Information Security, and more!

Policies & Procedures

Colleges and universities face many challenges when it comes to policy management, from creating and updating documents and managing versions, to training, attestation, and policy violation and investigations.

Solutions: PolicyTech; Public Viewer; PolicyTech for SharePoint

Hotline & Incident Management

EthicsPoint hotline and mobile intake provides the anywhere, anytime reporting that your campus community expects– empowering them to be active and engaged participants in your hotline and incident management program.

Solutions: EthicsPoint Case Management; Open Door & Anonymous Intake; Industry Benchmarking

Third Party Monitoring & Screening

Third-party engagements tend to be numerous and closely aligned to key institutional functions. Effective third-party screening and monitoring is critical to your institutions reputation.

Solutions: RiskRate; Adverse Media Screening; Enhanced Due Diligence Investigations

COI Disclosures

Deliver a simplified and streamlined solution that allows your institution to gather, track and analyze all disclosures with a customizable, templated questionnaire.

Solution: COI Disclosures Manager

Analytics & Benchmarking

Provides flexible reporting as it monitors behaviors, enables decisions, and identifies trends. Customized dashboards capture and display key metrics critical for an effective program.

Solutions: GRC Insights Integrity Diagnostics; GRC Insights Integrity Metrics

> NAVEX offered a reporting system that matched our structure and gave each institution a separate reporting page, as well as the ability to track specific risks at each individual institution."

Cathy Smock
Chief Auditor, The Texas A&M University System

NAVEX E&C

NAVEX ESG

NAVEX One®

NAVEX IRM
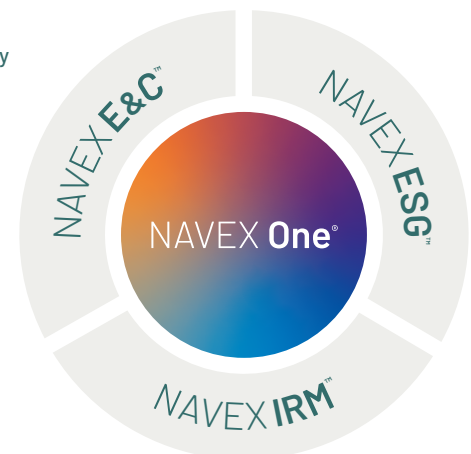
NAVEX ESG: Solves for flexible Environmental, Social and Governance (ESG) data collection, collaborative reporting, and supplier ESG risk management by providing a centralized location for performance visualization and disclosure management.

ESG Disclosures

Helps report your institutions performance information to annual ESG reports, voluntary frame works, and ESG ratings agencies. Meets multiple ESG disclosure requirements for groups such as SASB, GRI, TCFD, CDP and ESG Raters.

Responsible Supply Chain

Allows you to design and deploy ESG-focused supplier questionnaires. You can centralize responses and calculate a rating of high, medium, or low risk. NAVEX ESG identifies areas of supplier risks and opportunities that warrant additional engagement or corrective action – including on Scope 3 emissions.

Resource Footprint

Enables ESG key performance indicators to be reported in one place. NAVEX ESG acquires data from utility bills across water, electricity, waste, and natural gas to automatically calculate your institution's emissions footprint according to IEA and EPA eGrid standards for Scope 1, 2, and 3.

Conflict Minerals

Enables you to ensure your minerals supply chain is compliant, and ethical. Understand supplier and smelter risk profiles based on the Responsible Minerals Initiative (RMI). Leverage CMRT/EMRT reporting to determine smelter risk and remain aligned to conflict minerals guidance and regulations. Automatically generate Form-SD for US SEC Dodd-Frank compliance.

NAVEX IRM: Easy-to-configure Integrated Risk Management (IRM) solution that helps find, assess, and continuously bring visibility to business risks from across the organization.

IT Risk

Allows you to quickly build an automated, sustainable information security management system that enables real-time protection from IT and cyber risks. Gain visibility into the entire incident lifecycle – from root-cause analysis to corrective action.

Third Party Risk

Efficiently automate your institution's process of uncovering, addressing and monitoring third party risk. Leverage operational and information security metrics for real-time insights into risk across your supply chain.

Privacy, Risk & Compliance

Software to help form a scalable privacy program that meets regulations. Track how data flows throughout your institution, implement best-practice security frameworks to create policies, and determine the impact of a potential data breach.

Business Continuity

Easy-to-configure risk assessments help you account for assets and resources, and enable RPO and RTO analysis. Develop detailed plans, conduct impact analyses, and build and test recovery plans to strengthen your institutional resilience.

Operational Risk

Helps you determine the top risks that impact operations. Risk assessments identify and gather risk-related information on people, processes and technology. This allows you to map risks to controls, processes, compliance mandates, and objectives for a holistic view of risk.

Health & Safety

Meet compliance obligations by designing and enforcing safety policies and procedures. This helps you record, track, and manage workplace-related incidents, accidents, injuries, and illnesses.

Why **NAVEX?**

- Industry leader with the largest risk-signal database
- Holistic risk management platform
- Innovation at the speed of your institution
- Customer-centric service & support
- Solutions for any sized institution at any state of maturity
- Your partner for a stronger, more resilient workplace culture

NAVEX™

Confident Risk Management

NAVEX is the worldwide leader in integrated risk and compliance management software and services. Our solutions are trusted by thousands of customers around the globe to help them manage risk, address complex regulatory requirements, build corporate ESG programs and foster ethical workplace cultures.